

Research and Sponsored Projects Manual (RSP)

RSP 510–02: External Audits and Programmatic Reviews

Effective: 8/2/1978

Revised: 3/1/2022

Purpose

Coordination of audits and programmatic reviews on sponsored projects

Sources

Knowledge Enterprise (KE)

Office for Research and Sponsored Projects Administration (ORSPA)

Policy

It is the policy of ASU to cooperate with auditors, investigators, and other agency representatives conducting fiscal or programmatic reviews of externally funded projects to the maximum extent possible.

Knowledge Enterprise is responsible for monitoring all sponsored project audits. Research Operations is charged with coordinating audits and programmatic reviews of sponsored grant and contract programs.

University personnel must refer all requests from external agency representatives to review technical performance, technical reporting, or financial activity on a sponsored grant or contract to the Research Operations Executive Director.

The Research Operations director shall appoint a designee to coordinate all audit and review requests.

For more information on how RSP policies are implemented during each phase of a project, see the ORSPA [website](#)

Cross-References

For authoritative references, see [RSP 101](#), “General Research Policy.”

For information about audit policy at ASU, see the *Financial Services Manual Policies and Procedures Manual*:

1. [FIN 205–01](#), “Audit Liaison”
2. [FIN 205–02](#), “Coordination of Audits by Taxing Authorities”
3. [FIN 702](#), “Annual Audit Plan.”