



Subrecipient Letter of Intent or Commitment Form

PASS-THROUGH ENTITY (PTE) INSTITUTION INFORMATION	
PTE PI	
PTE Institution	
Prime Sponsor	
Project Title	

SUBRECIPIENT INSTITUTION INFORMATION			
Institution			
FDP Expanded Clearinghouse Profile url			
PI Name		eRA Commons	
PI Phone		PI E-Mail	
Project Period			
Performance Site Address		Performance Site Congressional District #	
Direct Costs		F&A Costs	Total Costs

SUBRECIPIENT CONTACTS			
Administrative		E-Mail	
		Phone	
Authorized Official		E-Mail	
		Phone	

	YES/NO	APPROVAL DATE OR PENDING		YES/NO	APPROVAL DATE OR PENDING
Human Subjects			Recombinant dNA		
Vertebrate Animals			Program Income		
Human Embryonic Stem Cells			Cost Sharing		
Select Agents					

In signing below and offering to participate in this research program, the Subrecipient Institution certifies that neither they nor their principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from receiving funds from any federal department or agency and are not delinquent on any federal debt; they are in compliance with the Drug Free Workplace Act of 1988; they are in compliance with U.S. Code, Section 1352, restrictions on the use of federal funds for the purpose of lobbying; they have filed annually with the Office of Scientific Integrity a PHS form 6349 governing Misconduct in Science; they have filed with DHHS compliance offices certification forms governing Civil Rights (441), Handicapped Individuals (641), Sex Discrimination (639-A), and Age Discrimination (680); they are in compliance with PHS policy governing Program Income; they have established policies in compliance with 45 CFR Part 46, Subpart A (protection of human subjects); the Animal Welfare Act (PL-89-544 as amended) and the Health Research Exchange Act of 1985 (Public Law 99-158); and that they are in compliance with NIH guidelines regarding human pluripotent stem cell research, transplantation of fetal tissue, recombinant DNA and human gene transfer research, and inclusion of women, children & minorities in research.

This proposal has been reviewed and approved by the appropriate official(s) of Subrecipient and certified to its accuracy and completeness. The appropriate programmatic and administrative personnel of Subrecipient involved in this application are aware of the prime awarding agency's policies, agree to accept the obligation to comply with award terms, conditions, and certifications, and is prepared to establish the necessary inter-institutional agreement consistent with that policy. Any terms or rates included in the proposal described herein are not binding upon the Pass-Through Entity. All terms and conditions between the parties will be outlined in a separate formal Agreement.

SUBRECIPIENT INSTITUTIONAL OFFICIAL:

 Name and Title

 Signature/Date

National Aeronautics and Space Administration (NASA)

Subrecipient Commitment Form Addendum

This addendum is applicable to all subrecipients receiving funds under a NASA prime award.

The Subrecipient certifies that it will comply with all applicable NASA award terms and conditions, including restrictions arising under Section 1340 of the FY2011 NASA appropriations act (commonly known as the Wolf Amendment), prohibiting bilateral activities, collaboration, or coordination with the Government of the People's Republic of China or any Chinese-owned company or entity. The Subrecipient acknowledges that

(1) it has reviewed its proposed activities, collaborations, or coordination and certifies that they are in compliance with the Wolf Amendment,

(2) it will not use subaward funds for activities, collaborations, or coordination prohibited by the Wolf Amendment, and

(3) it will promptly notify Arizona State University in writing upon becoming aware of any actual or potential activity that may be inconsistent with the Wolf Amendment in connection with this Subaward and will cooperate in any required review or reporting.

SUBRECIPIENT AUTHORIZED APPROVER:

THIS BOX MUST BE COMPLETED

The information, certifications, and representations above have been read, signed, and made by an authorized official of the subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of agency policy in regard to subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies.

Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk.

Signature of Subrecipient's Authorized Official

Date

(Type or print name and title of Authorized Official)

(Phone)

(Email)

U.S. Department of Agriculture (USDA)
Subrecipient Commitment Form Addendum

This addendum is applicable to all subrecipients receiving funds under a USDA prime award.

By accepting this Federal award, I certify that I am not currently a party to any subaward, contract, or other agreement, or otherwise subject to Foreign Ownership, Control, or Influence (FOCI) by any country of concern or other foreign adversary, and I will not knowingly enter into any subaward, contract, collaboration, or other agreement, whether funded or unfunded, that would provide any material or non-material benefit to any foreign person, foreign entity, or U.S. person or entity that is subject to such FOCI by a foreign country of concern or another foreign adversary, as defined by applicable Federal requirements. I further certify that I will comply with all applicable requirements regarding FOCI for the duration of the award.

I certify that I am not currently participating in, nor have I participated within the past ten (10) years, in any Malign Foreign Talent Recruitment Program (FTRP) as defined in 42 U.S.C. § 19237.

I further certify that I will not engage in any such Malign Foreign Talent Recruitment Program (FTRP) for the duration of the Federal award.

SUBRECIPIENT AUTHORIZED APPROVER:

THIS BOX MUST BE COMPLETED

The information, certifications, and representations above have been read, signed, and made by an authorized official of the subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of agency policy in regard to subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies.

Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk.

Signature of Subrecipient's Authorized Official

Date

(Type or print name and title of Authorized Official)

(Phone)

(Email)