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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | | **Primary Responsibility** |
|  | * FP SmartForms (and the SF424 application once created) are editable by the RA Owner and any additional assigned Editors. * Once a PNT GCO is assigned to the proposal, they also have edit rights. * **PNT GCOs are also able to Create New Proposals and Pre-Proposals.** | **Non S2S FP Workspace** | **S2S FP Workspace** | RA |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * The budget, once created, remains editable **until award**. * Once a PNT GCO is assigned to the proposal, they also have edit rights to all related budgets. | **Budget Workspace** | RA |
|  | * The Cost Sharing budget, once created, remains **editable until award**. | **Cost Sharing Budget Workspace** | RA |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | | **Primary Responsibility** |
|  | * Subaward budget(s), once created, remain editable **until award**. | **Subaward Budget(s) Workspace** | | RA |
|  | * FP SmartForms (and the SF424 application, if being used) are locked from editing while under review. | **Non S2S FP Workspace** | **S2S FP Workspace** | Depts |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | | **Primary Responsibility** |
|  | * FP Smartforms (and SF424) are now unlocked for editing in order to respond to department request. |  |  | RA |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * The proposal can be reinstated by the RA (or PNT GCO), which will return it to Draft. |  | RA |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | | **Primary Responsibility** |
|  | * FP SmartForms (and SF424) are editable. |  |  | PNT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | | **Primary Responsibility** |
|  | * FP Smartforms (and SF424) are editable. |  |  | RA |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | | **Primary Responsibility** |
|  | * FP SmartForms (and SF424) are not locked from editing, unless PNT returns it to review status. |  |  | PNT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | FP SmartForms (and SF424) are locked from editing; budgets remain editable until award. |  | PNT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * FP Smartforms are now unlocked for editing in order to respond to sponsor request. |  | PNT/RA |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * PI determines whether to submit again. |  | PI |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * PI determines whether to submit again. |  | PI |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * PI determines whether to submit again. |  | PI |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * Sponsor has contacted ASU; At-Risk Request can be initiated. |  | PNT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * PNT reviews and may contact RA and PI for additional information. |  | PNT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * RA is to respond to PNT with information to complete At-Risk Request process. |  | RA |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * At-Risk Award setup moves forward. |  | PNT/AMT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * Sponsor agreement has been received and is under PNT review. |  | PNT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * Agreement has been finalized by PNT; AMT will close At-Risk status. |  | PNT/AMT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * Agreement has been received from Sponsor and is under PNT review. |  | PNT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * Award is now in place and follows Post Award processes. * Note: From this state, RA’s can create Revisions and Renewals. |  | AMT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * Proposal was cancelled. |  | RA |

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| **State & what it means** | **Activities Available to PNT GCO, Once Assigned** | | | **Primary Responsibility** |
|  | **ORSPA Review/Submit by Unit**  **(once PNT GCO is assigned)** | **ORSPA Review/Submit by ORSPA**  **(once PNT GCO is assigned)** | **No ORSPA Review/Submit by Unit**  **(once PNT GCO is assigned)** |  |
| **Pre-Proposal**     * Pre-Proposal has been created and will be submitted by RA for PI review. The FP SmartForms remain editable until submitted to the Sponsor. |  |  |  | RA |

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| **State & what it means** | **Activities Available to PNT GCO, Once Assigned** | | | **Primary Responsibility** |
|  | **ORSPA Review/Submit by Unit** | **ORSPA Review/Submit by ORSPA** | **No ORSPA Review/Submit by Unit** |  |
| * Pre-Proposal is with PI. |  |  |  | PI |

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| **State & what it means** | **Activities Available to PNT GCO, Once Assigned** | | | **Primary Responsibility** |
|  | **ORSPA Review/Submit by Unit** | **ORSPA Review/Submit by ORSPA** | **No ORSPA Review/Submit by Unit** |  |
| * PI has returned Pre-Proposal for changes by RA. |  |  |  | RA |

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| **State & what it means** | **Activities Available to PNT GCO, Once Assigned** | | | **Primary Responsibility** |
|  | **ORSPA Review/Submit by Unit** | **ORSPA Review/Submit by ORSPA** | **No ORSPA Review/Submit by Unit** |  |
| * After PI approval, Pre-Proposal automatically moves to PNT when ORSPA review is required. |  |  | Skips PNT Review | PNT/RA |

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| **State & what it means** | **Activities Available to PNT GCO, Once Assigned** | | | **Primary Responsibility** |
|  | **ORSPA Review/Submit by Unit** | **ORSPA Review/Submit by ORSPA** | **No ORSPA Review/Submit by Unit** |  |
| * PNT has returned the Pre-Proposal to the RA for change. |  |  | Skips PNT Review | RA |

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| **State & what it means** | **Activities Available to PNT GCO, Once Assigned** | | | **Primary Responsibility** |
|  | **ORSPA Review/Submit by Unit** | **ORSPA Review/Submit by ORSPA** | **No ORSPA Review/Submit by Unit** |  |
| * Depending on the selections made in the SmartForms regarding who will submit, either PNT or RA will submit to sponsor. |  |  |  | PNT (or RA, if submitted by Unit) |

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| **State & what it means** | **Activities Available to PNT GCO, Once Assigned** | | | **Primary Responsibility** |
|  | **ORSPA Review/Submit by Unit** | **ORSPA Review/Submit by ORSPA** | **No ORSPA Review/Submit by Unit** |  |
| * FP SmartForms are not editable in this state. |  |  |  | PNT (or RA, if submitted by Unit) |

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| **State & what it means** | **Activities Available to PNT GCO, Once Assigned** | | | **Primary Responsibility** |
|  | **ORSPA Review/Submit by Unit** | **ORSPA Review/Submit by ORSPA** | **No ORSPA Review/Submit by Unit** |  |
| * RA can now create New Funding Submission from within this Pre-Proposal’s workspace. |  |  |  | PNT/RA |

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| **State & what it means** | **Activities Available to PNT GCO, Once Assigned** | | | **Primary Responsibility** |
|  | **ORSPA Review/Submit by Unit** | **ORSPA Review/Submit by ORSPA** | **No ORSPA Review/Submit by Unit** |  |
| * The FP is now closed. |  |  |  | RA |

| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
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|  | * The funding proposal remains in draft and is editable. * Budget remains editable. | **Budget Workspace** | Depts |
|  | * Unit has requested changes from RA. |  | RA |
|  | * Unit review is complete and is now under OKED review. * If disapproved, returns to Draft state. * If approved, F&A Review ribbon disappears from workspace and process continues moving forward. * If F&A waiver review is still pending at submittal time, PNT can not submit. |  | OKED |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
|  | * The funding proposal remains in draft and is editable. * Budget remains editable. | **Budget Workspace** | Depts |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
| **SF424 State** | * SF424, once created, is editable by the RA Owner, the assigned PNT GCO, and any additional assigned Editors. * **Additional Editors to the SF424 must be assigned within the SF424 workspace.** * PNT GCO may bypass validations, if necessary. |  | RA |
|  | * Post Validation, the SF424 can be submitted by PNT. |  | PNT |
|  | * The proposal has been submitted by PNT to Grants.gov. * The SF424 can be reopened for edit by PNT if the sponsor reports any errors in completing the submission. |  | PNT |
| cid:image002.png@01CF265E.9DE75AC0 | * The agency has received the proposal. * The SF424 can be reopened for edit by PNT, if necessary. | cid:image002.jpg@01CF62E9.5A0FA330 | PNT |

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| **State** | **What this State means** | **Activities Available to PNT GCO, Once Assigned** | **Primary Responsibility** |
| cid:image003.png@01CF265E.EA3D7030 | * The agency has now assigned a tracking number to the proposal. * The SF424 can be reopened for edit. | cid:image006.jpg@01CF62E9.5A0FA330 | PNT |