

## FFATA/FSRS CHECKLIST

### Step One

#### **Is ASU's award coming directly from a Federal Source?**

\_\_\_ **Yes**, then reporting may be required in FFATA Sub-award Reporting System (FSRS), proceed to next step.

\_\_\_ **No**, there is no need to report in FFATA Sub-award Reporting System (FSRS), review stops here.

### Step Two

#### **Is funding from a Prime Award or Transfer Award with a new FAIN that meets the Obligated Funding and Award Date Requirements?**

##### Grants & Cooperative Agreements

- Is prime award greater than or equal to \$25,000 [Awarded 10/1/2010 and after]

##### Contracts

- Is prime award greater than or equal to \$30,000 [Awarded 10/1/2015 and after]
- Is prime award greater than or equal to \$25,000 [Awarded 3/1/2011 – 9/30/2015]
- Is prime award greater than or equal to \$550,000 [Awarded 10/1/2010 – 2/28/2011]
- Is prime award greater than or equal to \$20,000,000 [Awarded prior to 10/10/2010]

\_\_\_ **Yes**, then reporting may be required in FFATA Sub-award Reporting System (FSRS), proceed to next step.

\_\_\_ **No**, there is no need to report in FFATA Sub-award Reporting System (FSRS), review stops here.

\_\_\_ **FFATA Threshold not yet met. Anticipated additional funding in the future.**

### Step Three

#### **Is ARRA Funding involved?**

\_\_\_ **Yes**, there is no need to report in FFATA Sub-award Reporting System (FSRS), review stops here.

\_\_\_ **No**, then reporting may be required in FFATA Sub-award Reporting System (FSRS), proceed to next step.

### Step Four

#### **Will this Task issue a new Subaward or a Subaward Modification with a total obligation to the Subcontractor greater than or equal to \$25,000?**

\_\_\_ **Yes**, then reporting is required in FFATA Sub-award Reporting System (FSRS).

- **NEW Subaward:** Choose FFATA “Yes” in the Subaward Sharepoint Task. Check the “FFATA” checkbox on front page of a FDP Research Subaward Agreement and include Attachment 3B pages 1 and 2 – or – include FFATA Attachment to a non-FDP Template. The Current Subaward Amount will be reported in [FSRS](#).
- **Subaward Modifications with funding changes:** Choose FFATA “Yes” in the Subaward Sharepoint Task. Current practice is to report supplementary funding increments noting the fully executed Modification's amount/date. The Amount Added/Decreased will be reported in [FSRS](#).
- **Subaward Modifications for a No Cost Extensions (NCE) or Administrative Change** where the Subaward was previously determined to be subject to FFATA Reporting: Choose FFATA “Yes” in the Subaward Sharepoint Task thus keeping the entire subaward family under the same FFATA classification in monthly reports. A NCE or Administrative Change will not need to be reported in [FSRS](#).

\_\_\_ **No**, then reporting in FFATA Sub-award Reporting System (FSRS) is not required