Horizon 2020
Presenters

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Horizon 2020 Background

• Largest EU Research and Innovation program ever with nearly €80 billion of funding available over 7 years (2014 to 2020)
• Aimed at securing Europe's global competitiveness
• Heart of the EU’s blueprint for smart, sustainable and inclusive growth and jobs
• Open to everyone
Three ways to participate

**Unfunded** – no quantified commitment of resources, literally just a Letter of Commitment by the PI. ASU is not party to any contract requirements.

**Cost-Share** – PI has quantified some kind of resources that ASU will bring to the Project. ASU may be subject to the EU Grant Agreement (GA) and a Consortium Agreement (CA) dependent on the nature of the Project, and we will be held to the Terms & Conditions (T&C’s) of the Agreement(s). Business decisions with respect to accepting some of the GA and CA terms and conditions will likely be required on the part of the department and ASU.

**Funded** – the US Institution is allowed to participate with other legal entities as a beneficiary either: (a) because it is stipulated in the H2020 “call”, or (b) the US Institution has been deemed an “essential” partner by the EU partner and the European Commission (funding body) recognizes this as well. ASU will propose and be subject to the EU GA and a CA. Business decisions with respect to accepting some of the GA and CA terms and conditions will likely be required on the part of the department and ASU.
Process for applications

**Unfunded** – No need to process through PNT. PNT Contracts will provide advisory support upon request.

**Cost-Share** – Notify PNT as soon as possible; route for cost share; track commitment

**Funded** – Standard process; notify PNT for initial review; determine call specific requirements. Will require:

- *Grant Agreement* between EU and the Coordinating (“Lead”) EU institution; non-Lead Beneficiaries sign Accession Form to assume all the rights and obligations under the Grant Agreement.
- Separate *Consortium Agreement* between the “Beneficiaries”; EU institutions and ASU.
Best practice recommendations

• READ CALL VERY CAREFULLY!
• Determine ASU eligibility.
• Determine the mechanism for funding and where its coming from.
• Identify partners.
• Notify PNT early for assistance.
• Hold a brief kick off telecon between PI/RA/GCO before preparing proposal to determine whether to move forward.
• Prepare proposal. Consider risks/costs for non-standard terms and conditions.
• Ask GCO to review the template for allocable costs-pay close attention to requirements on allowable costs
Resources

The Horizon 2020 website:

Reference documents:


Assistance with relocating: http://ec.europa.eu/euraxess/
H2020 – A strategic approach (I)

Different Kinds of Projects = different rules & funding!

1. Collaborative Projects called:
   - Research and Innovation Actions (RIA)
   - Innovation Actions (IA)

2. Coordination and Support Actions (CSA)

3. SME Instrument (SME)

4. Pre-commercial Procurement (PCP) & Public procurement for Innovative solutions (PPI)
H2020 – A strategic approach (II)

- EU policies
- Bilateral relations
- Advisory Groups, Technology Platforms, Innovation Partnerships, Technology Initiatives

H2020 Work Programmes
Example/Scenario

- **Steps:** [http://ec.europa.eu/research/participants/docs/h2020-funding-guide/grants/applying-for-funding/find-partners_en.htm](http://ec.europa.eu/research/participants/docs/h2020-funding-guide/grants/applying-for-funding/find-partners_en.htm)

- 1) Example Call: **Sustainable Food Security**, **Topic:** Small farms but global markets: the role of small and family farms in food and nutrition security. Deadline: 3 Feb 2015 (two-stage evaluation)
- 2) Proposals are encouraged to include third country participants, especially those established in Africa and Asia
- 3) Create an account and register
- 4) Submit proposal with partner
Additional examples


OKED invites faculty and staff to attend a workshop that will introduce the Horizon 2020 framework and identify points of collaboration with existing partner institutions in Europe. The workshop will be facilitated by Dr. James Gavigan of the Delegation of the European Union to the United States of America.

European Commission:

Horizon 2020 opportunities

Friday
November 21, 2014

ASU Tempe Campus
Memorial Union, Arizona Ballroom

2:00 – 4:30pm Workshop
4:30 – 5:30pm Networking Reception

Horizon 2020 supports a wide variety of topics, including social sciences and humanities, industry partnerships, and energy, among others.

James Gavigan, Ph.D
Dr. Gavigan is a professor of research and innovation and Head of the Science, Technology and Innovation section of the Research and Innovation Unit, Office of the European Commission.

Questions?
ResearchDevelopment@asu.edu

European Commission:
Horizon 2020 opportunities

Agenda

2:00 – 2:30pm Registration and Check In
2:30 – 2:45pm Opening Remarks and Introduction of Presenter
Dr. Sethrahman "Panu" Pancharatnam, Senior Vice President
Office of Knowledge Enterprise Development
2:45 – 3:05pm Overview of Horizon 2020 and Opportunities
Dr. James P. Gavigan, Director
European Commission’s Delegation
3:05 – 3:30pm Break
3:30 – 4:15pm How to Participate
4:15 – 4:30pm Closing Remarks
Dr. William Potter, Associate Vice President of Research
Office of Knowledge Enterprise Development
4:30 – 5:30pm Networking Reception
4:45 – 5:30pm Meet and Greet (Gala, Room #224)
Dr. Brenda Herman
Dublin City University
Questions?

RSVP for November 11/21: https://funding.asu.edu/research-forums/horizon-2020-opportunities-workshop